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PATENT
Attorney Docket No.: 16930-000921US
Client Reference No.: 3005

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

On 12/14/00

TOWNSEND and TOWNSEND and CREW LLP

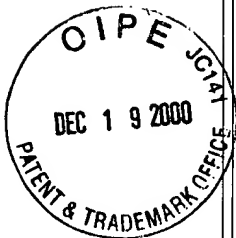
By: [Signature]

RECEIVED

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TECH CENTER 1600/2805

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard J. Gregory et al.

Application No.: 09/958,570

Filed: October 28, 1997

For: RECOMBINANT ADENOVIRAL
VECTOR AND METHODS OF USE

Examiner: D. Guzo

Art Unit: 1636

TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application

Dated May 19, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Petition to Extend Time
- 2) Copy of Notice of Missing Parts

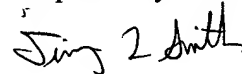
Please charge Deposit Account No. 20-1430 for the following fees:

| | | |
|---------------|---|----------|
| Large Entity: | (a) Filing Fee (§ 1.16(a)) (Large Entity) | \$710.00 |
| | (b) Excess Claims Fees (§ 1.16(b), (c)): | |

| | | |
|---------------------------------|-------------|-------------------|
| 25 - 20 = 5 | x \$18.00 = | \$90.00 |
| 5 - 3 = 2 | x \$80.00 = | \$160.00 |
| (c) Missing Parts Surcharge | | \$130.00 |
| TOTAL FEES TO BE CHARGED | | \$1,090.00 |

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Timothy L. Smith
Reg. No. 35,367

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 415-576-0200
Fax: 415 576-0300
TLS:dk



TL Smith

016930 00092148



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTY DOCKET NO/TITLE |
|--------------------|---------------------|-----------------------|----------------------|
| 08/958,570 | 10/28/97 | GREGORY | R 16930-000921 |

HM11/0519
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER 8TH FLOOR
SAN FRANCISCO CA 94111-3834

GUZO, D

1636
DATE MAILED:

05/19/00

Response Due

7-19-00 ms

NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)
Filing Date Granted

The Continued Prosecution Application (CPA) request filed on 5/15/00 is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.

- ☒ 1. The statutory basic filing fee is:
☐ missing.
☒ insufficient.

(a) Applicant must submit \$ 690.00 to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ _____ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is not required (37 CFR 1.28)).

(b) Applicant must submit \$ _____ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

- ☒ 2. Additional claim fees of
\$ 78.00 (non-small entity) or \$ _____ (small entity) for _____ independent claims over 3.
\$ 90.00 (non-small entity) or \$ _____ (small entity) for _____ claims over 20.
\$ _____ (non-small entity) or \$ _____ (small entity) for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.
☐ 5. Other: _____

A copy of this Notice MUST be returned with the reply.

Direct the reply and any questions about this Notice to:

Hevia G. Hammell

Examining Group 1636

(703) 30 8-4277

RECEIVED

08 JUN 2000

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01 FC:131 690.00 CH
02 FC:105 130.00 CH
03 FC:103 90.00 CH
04 FC:102 156.00 CH